

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Lee

Group Art Unit: 1765

Serial No.: 09/ 822,532

Examiner: Robert M. Kunemund

Filed: March 30, 2001

For: Method for Forming Aluminum Bumps by Sputtering and Chemical Mechanical Polishing

Commissioner for Patents

Alexandria, VA 22313-1450

TRANSMITTAL OF APPEAL BRIEF (PATENT APPLICATION-37 CFR 192)

1. Transmitted herewith, in triplicate, is the APPEAL BRIEF in this application, with respect to the Notice of Appeal Filed on July 17, 2003.

NOTE: "The Appellant shall, within 2 months from the date of the notice of appeal under §1.191(a) or within the time allowed for response to the action appealed from, if such time is later, file a brief in "triplicate", 37 C.F.R. 1.192(a) [emphasis added].

2. STATUS OF APPLICANT

This application is on behalf of:

☒ other than a small entity.  
☐ a small entity.

A verified statement:

☐ is attached.  
☐ was already filed.

3. FEE FOR FILING APPEAL BRIEF

Pursuant to 37 CFR 1.17(f), the fee for filing the Appeal Brief is:

<input type="checkbox"/> small entity	\$160.00
<input checked="" type="checkbox"/> other than a small entity	\$320.00

Appeal Brief fee due: \$ 320.00

Certificate of Mailing/Transmission (37 CFR 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

Mailing

X deposited with the U.S. Postal Service  
with sufficient postage as Express Mail  
Label No. EV 275 480 836 US  
in an envelope addressed to Mail Stop: Appeal,  
Commissioner for Patents, P.O. Box 1450,  
Alexandria, VA 22313-1450

Dated: Sept. 17, 2003

Kathy Dixon

10/03/2003 MWAGSTAF 00000001 09822532

(Transmittal of Appeal Brief - page 1 of 3)

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UNIT OF APPEALS  
AND REFERENCES

4. EXTENSION OF TERM

NOTE: The time periods set forth in 37 CFR 1.192(a) are subject to the provision of ☐ 1.136 for patent applications. 37 CFR 1.191(d). See also Notice of November 5, 1985 (1060 O.G. 27).

The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply:

(complete (a) or (b), as applicable)

- (a) ☐ Applicant petitions for an extension of time under 37 CFR 1.136 (fees: 37 CFR 1.17(a)-(d) for the total number of months checked below:

	Extension (months)	Fee for other than small entity	Fee for small entity
<input type="checkbox"/>	one month	\$ 110.00	\$ 55.00
<input type="checkbox"/>	two months	\$ 390.00	\$195.00
<input type="checkbox"/>	three months	\$ 930.00	\$465.00
<input type="checkbox"/>	four months	\$1,470.00	\$735.00

Fee: \$ \_\_\_\_\_

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

- ☐ An extension for \_\_\_\_\_ months has already been secured, and the fee paid therefor of \$ \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request: \$ \_\_\_\_\_

or

- (b) ☐ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

5. TOTAL FEE DUE

The total fee due is:

Appeal Brief Fee: \$ 320.00  
Extension fee (if any) \$ \_\_\_\_\_

TOTAL FEE DUE: \$ 320.00

6. FEE PAYMENT

- X Attached is a Credit Card Payment Form for the sum of \$ 320.00  
X Charge ~~Visa~~ Credit Card No. ~~4756 8461 9568 0263~~ the sum of \$ 320.00.  
A duplicate copy of this transmittal is attached.

→ American Express → 3715 663193 71002

(Transmittal of Appeal Brief - page 2 of 3)

7.


# FEE DEFICIENCY

NOTE: If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, 1065 O.G. 31-33.

X If any additional extension and/or fee is required, this is a request therefor  
to charge ~~Visa Credit Card No. 4756 8461 9568 0263~~  
American Exp.  
And/Or

X If any additional fee for claims is required, please charge Visa Credit Card  
No. 4756 8461 9568 0263

American Exp.

  
\_\_\_\_\_  
Signature of Attorney

Registration No. 31,311

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